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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-----------------|
| Application Number | 10/089,951 |
| Filing Date | 4/4/2002 |
| First Named Inventor | KATO, Kaneyoshi |
| Group Art Unit | tba |
| Examiner Name | tba |
| Attorney Docket Number | 2654 USOP |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
Return Postcard, Form PTO-1449(modified)
Copies of References.

Remarks

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 500799.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|------------------------------------|
| Firm or Individual name | Mark Chao, PhD, JD Reg. No. 37,293 |
| Signature | |
| Date | 12/13/02 |

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| Typed or printed name | Mark Chao, PhD, JD Reg. No. 37,293 |
| Signature | |
| Date | 12/13/02 |

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DATE IN: December 13, 2002

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|---------------------------|-------------------|------------|-----|
| Application No.: | 10/089,951 | Art Unit: | tba |
| Filed: | 4/4/2002 | Examiner: | tba |
| 1 st Inventor: | KATO, Kaneyoshi | Allowed: | |
| For: | Amine Derivatives | Batch: | |
| Atty Dkt. No. | 2654 USOP | Paper No.: | |

1. This Postcard and Certificate of Express Mailing
2. Transmittal Form (1 pg.)
3. Information Disclosure Statement (1 pg)
4. Form USPTO-1449 (modified) (1 pg)
5. Copies of Cited References 3 refs)

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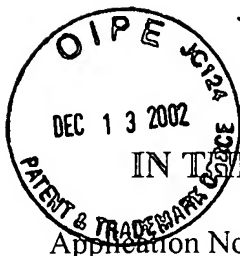
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Dated:

12/13/02

Mark Chao, Ph.D., Reg. No. 37,293



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Application No.: 10/089,951
Filed: April 4, 2002
1st Inventor: KATO, Kaneyoshi
For: Amine Derivatives
Atty. Dkt. No. 2654 USOP

Art Unit:
Examiner:
Allowed:
Batch:
Paper No.:

Information Disclosure Statement

Commissioner for Patents
Washington, D.C. 20231
Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, applicants request consideration of the references listed on the attached form PTO-1449. A legible copy of each listed reference

☒ (X) are enclosed.

☐ () has been previously submitted to the Patent Office in prior patent application Serial No.

This information disclosure statement is being submitted

☐ () within 3 months of the filing date of the above-identified application

☒ (X) before the mailing date of the first Office Action on the merits,

☒ (X) thus, no certification or fee is required.

☒ (X) The Commissioner is authorized to charge any required fee or to credit any overpayment to USPTO Deposit Account 500799.

For each non-English language reference listed on the attached Form PTO-1449, reference is made to the concise explanation attached thereto.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of the attached Form PTO-1449 be returned in accordance with MPEP §9609.

Should the Examiner believe that a conference with applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call applicants' attorney.

Respectfully submitted,

Dated: December 12, 2002

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Elaine M. Ramesh, Ph.D., Reg. No. 43032

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Lincolnshire, IL 60069 USA

Page 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO:

ATTY DOCKET NO.:
2654 USOP

SERIAL NO.:
10/089,951

APPLICANT:
KATO, Kaneyoshi

FILING DATE:
April 4, 2002

GROUP:

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF No. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|------------|--------------------|---------------|---------------|-------|----------|-------------------------------|
| | 2 C1 | 5,545,735 A | Aug. 13, 1996 | Bochis et al. | | | |
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FOREIGN PATENT DOCUMENTS

| *EXAMINER INITIAL | REF No. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|----------------------|------------|--------------------|----------|---------|-------|----------|-----------------------|--|
| | 2 C2 | EP 0 615 977 A1 | 21.09.04 | EP | | | | |
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OTHER DOCUMENT(S)

| *EXAMINER INITIAL | REF No. | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|----------------------|------------|--|
| | 2 C3 | Pasternak et al. "Potent, Orally Bioavailable Somatostatin Agonists: Good Absorption Achieved by Urea Backbone Cyclization" Bioorganic & Medicinal Chemistry Letters 9: 491-496 (1999) |
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EXAMINER:

DATE CONSIDERED:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.